Strengthening national capacities for safeguarding intangible cultural heritage

Training of trainers workshop in Harare  
24 to 28 January 2011

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# Overview of the capacity-building strategy

## Strengthening National Capacities for Safeguarding Intangible Cultural Heritage: UNESCO’s Strategy for 2010-2011 and Beyond

### ICH in the world – 2011

# **Africa.png**

133 States Parties today, 36 (of 53) in Africa

### Why capacity building?

* Lack of understanding of the different mechanisms established by the Convention
* Lack of human resources or technical know-how necessary to implement the Convention effectively
* Request from States Parties themselves to organize capacity building activities

### Regular programme for 2010-2011

Excerpt from the 35 C/5:

(…) the assistance given to States Parties in the form of policy advice and capacity-building (…) will also be a key priority, in order that the principles of the Convention can be put into effect at the national, and notably the legislative level

### Extrabudgetary resources being mobilized by ITH

Extrabudgetary resources total some US$9.1 million available in 2010-12

Preliminary earmarking (subject to donor wishes):

* Africa US$2.3 million
* Asia and the Pacific US$1.8 million
* Latin America and Caribbean US$1.4 million
* Arab States US$900,000
* Eastern Europe US$75,000
* Global US$2.7 million

### Aims of the capacity-building activities

* To enhance the capacities of beneficiary countries to safeguard their intangible cultural heritage
* To enhance the capacities of beneficiary countries to benefit from mechanisms of international cooperation and assistance established by the Convention

### Four priority themes (almost) ready in 2010

* Ratification of the Convention
* Implementation of the Convention at the national level
* Community-based ICH inventorying
* Preparing nominations to the Urgent Safeguarding List

### Additional themes to be ready in 2011

* Preparing requests for International Assistance
* Preparing nominations to the Representative List
* Participation of NGOs and civil society in implementing the Convention
* Preparation of periodic reports on implementation of the Convention by States Parties

### Ratification of the Convention

One-day or two-day workshop

* Clarifies the reasons to ratify the Convention
* Details processes and mechanisms for successful ratification
* Provides guidance in solving problems in ratifying the Convention
* Explains the importance of legal or policy reform to integrate ICH safeguarding

### Implementation at the national level

One-day, three-day or five-day workshop

Provides an overview of the 2003 Convention:

* Its objectives
* Key safeguarding concepts
* National obligations of States Parties
* Involvement of communities and other actors
* Mechanisms for international cooperation

Provides a platform for:

* Sharing past and on-going experiences of safeguarding
* Collective reflection on experiences and challenges in safeguarding ICH
* Discussion on sustainable development and ICH

### Community-based ICH inventorying

Eight-day to ten-day workshop

* Details the essential features of inventorying under the Convention
* Clarifies how inventorying contributes to safeguarding
* Provides training on practical technical skills in inventory-making, including a fieldwork practicum

### Preparing nominations to the Urgent Safeguarding List

Five-day workshop

* Uses simulated nominations and walks participants through the process of examination
* Provides understanding of what a good and complete nomination is through participant's own examination of sample files
* Practical experience in developing safeguarding plans
* Emphasizes community participation
* Explains the principle of free, prior and informed consent
* Produce a core of knowledgeable experts able to develop nominations and assess their adequacy

### Modular structure

* Workshops designed to be adapted to diverse situations, settings and audiences
* Trainers can easily introduce new content from local or regional perspective
* Each activity supported by lesson plan, handouts, reference materials
* High-tech, medium-tech and low-tech editions

### Audiences

* Actors at the heart of the Convention:
* Policy-oriented audience for workshop on ratification
* Ministry and local officers, experts, NGOs for workshops on implementation and preparing nominations
* Officers, experts and community members for workshop on inventorying
* Intensive workshops require serious commitments from participants
* Availability and willingness to continue implementing activities after the end of the workshop (e.g. inventories, nominations)
* Respect for parity between genders

### Pedagogical approaches

* Participation of pedagogical experts in shaping the training modules
* Emphasis on interactivity and active learning
* Simulations and role-playing activities to reinforce learning
* Quizzes to test and reinforce knowledge of participants

### Graphic design

Training materials designed using a tool kit, in order to facilitate:

* Adaptation to specific needs, regional characteristics or national contexts
* Updating and/or revision of texts and materials
* Translation and customization to local requirements

### Languages

* Materials will initially be available in English and French
* Spanish and Arabic to follow soon after (following testing phase)
* Other languages to be available later, as needed
* No restriction on future translations

### Training of trainers, region by region

* Six to eight workshops around the world in January to March 2011, each lasting five days (Monday to Friday)
* Organized in close collaboration with UNESCO field offices
* Participation of eight to ten regional trainers in each workshop
* Trainers will be available to roll out the workshops from March 2011 onwards

*Tentative dates:*

* 10 to 14 January: Beijing
* 24 to 28 January: Harare
* 30 January to 4 February: Libreville
* 27 February to 3 March: Abu Dhabi
* 14 to 18 March: Havana
* 21 to 25 March: Sofia

### Regional and national workshops and activities

* Will start being organized globally from March 2011 onwards
* To be conducted by the trained regional trainers
* Implemented primarily by national counterparts
* Implemented through UNESCO field offices

### Regional and national workshops and activities

* Begin capacity-building programme in developing States Parties in 2011
* Success depends on ability to organize a sequence of workshops, not just one
* Workshops are the most visible activities, but supported by complementary interventions
* Longer-term, sustained efforts supported by extra-budgetary funding and ICH Fund

# Timetable of the training of trainers workshop: Harare

| **Time** | **Activity** | **Related modules** | **Convention articles** |
| --- | --- | --- | --- |
| **Day 1** | **Monday 24 January 2011** |  |  |
| 08:30-10:30 | Opening ceremony and welcoming remarks  Professor Luc Rukingama (UNESCO Harare Cluster Office Director and Representative)  Frank Proschan (ITH, UNESCO HQ)  Zvido Zvevanhu Dance Ensemble  Directorate of Culture,Hon. Dr. L. Dokora (Deputy Minister of Education, Sport, Arts and Culture)  Dr Manungo, Vice Chairman, Oral Traditions Association of Zimbabwe (OTAZI) |  |  |
| *10:30-11:00* | *Tea break* |  |  |
| 11:00-12:30 | Introductions of participants | INV 8.1 |  |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-14:30 | Introductions of participants (continued) |  |  |
| 14:30-15:30 | Global capacity-building strategy: objectives, modalities, timetable, finances, responsibilities |  |  |
| *15:30-15:45* | *Tea break* |  |  |
| 15:45-17:00 | Training materials and approaches: overview of topics, kinds of activities, kinds of resources, architecture of workshops, methodologies |  |  |
|  |  |  |  |
| 19:00-21:00 | Cocktail reception, at the invitation of the Oral Traditions of Zimbabwe (OTAZI), Rainbow Towers |  |  |
| **Day 2** | **Tuesday 25 January 2011** |  |  |
| 08:30-10:00 | Convention overview | IMP 5.2 (=RAT 2.2, NOM 2.2); INV 8.2 |  |
| *10:00-10:15* | *Tea break* |  |  |
| 10:15-12:30 | Key concepts. | IMP 5.3 (=RAT 2.3, NOM 2.3); INV 8.3 | Preamble, Articles 1 & 2 |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:30 | ICH in PCPD situations (role-play) | IMP 5.9 |  |
| *15:30-15:45* | *Tea break* |  |  |
| 15:45-16:15 | ICH in PCPD situations (reporting back) |  |  |
| 16:15-17:00 | Community participation | IMP 5.7; RAT 2.6; NOM 5.12 | Article 15 |
| **Day 3** | **Wednesday 26 January 2011** |  |  |
| 08:30-10:00 | ICH inventorying under the convention: Q&A | IMP 5.6; RAT 2.4; NOM 5.4; INV 8.4 | Articles 11 & 12 |
| *10:00-10:15* | *Tea break* |  |  |
| 10:15-11:30 | ICH inventorying under the convention: Q&A (continued) |  |  |
| 11:30-12:30 | Workshop on community-based inventorying: overview, architecture, guided tour | INV 8.0 etc. | Articles 11 & 12 |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:30 | Community-based inventorying workshop: experiences in Southern Africa |  | Articles 11 & 12 |
| *15:30-15:45* | *Tea break* |  |  |
| 15:45-16:30 | Safeguarding measures and good practices | IMP 5.10; NOM 5.13; INV 8.6 | Articles 2, 11-15 |
| 16:30-17:00 | International Assistance | IMP 5.12; RAT 2.5; NOM 5.5; NOM 5.6 | Articles 16-24 |
|  |  |  |  |
| 19:00-22:00 | Music and dinner, organized by OTAZI |  |  |
| **Day 4** | **Thursday 27 January 2011** |  |  |
| 08:30-09:00 | Workshop on nominations to the USL: overview, architecture, guided tour | NOM 5.0; NOM 5.6 etc. | Article 17 |
| 09:00-10:15 | Nominations overview;  Technical assessment of nominations | NOM 5.6; NOM 5.8 |  |
| *10:15-10:30* | *Tea break* |  |  |
| 10:30-12:30 | Nominations to the USL: practicum in examining nominations | NOM 5.7 | Article 17 |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-16:00 | Nominations to the USL: practicum in examining nominations (pt. 2) – reporting back |  |  |
| **Day 5** | **Friday 28 January 2011** |  |  |
| 08:30-09:30 | Nominations to the USL: experiences from the Windhoek workshop |  |  |
| 09:30-10:30 | Shared heritage: Q & A |  |  |
| *10:30-10:45* | *Tea break* |  |  |
| 10:30-11:30 | Shared heritage: Q & A |  |  |
| 11:30-12:30 | Next steps: implementing the capacity-building strategy in the Africa region |  |  |
| *12:30-14:00* | *Lunch* |  |  |
| 14:00-15:00 | Evaluation |  |  |
| 15:00-16:00 | Review and closing  J. J. Mhlanga (Secretary General, Zimbabwe National Commission for UNESCO) |  |  |

# Overview of the workshop modules

Certain modules are shared among several workshops; these are listed first. In some cases these are identical, while in other cases they are similar or overlapping without being identical. For the specific sequence within each workshop, refer to the numbers or to the contents of each workshop.

| **IMP – Implementation of the Convention at the national level** | **RAT – Ratifying the Convention** | **NOM –** **Preparing nominations for the Urgent Safeguarding List** | **INV – Community-based inventorying of intangible cultural heritage** | **Notes** |
| --- | --- | --- | --- | --- |
| Introductory welcome speeches (optional) | Introductory welcome speeches (optional) | Introductory welcome speeches (optional) | Opening ceremony |  |
| IMP 5.1 – Introduction of participants | RAT 2.1 – Introduction of participants | NOM 5.1 – Introduction of participants | INV 8.1 – Introduction of participants | *IMP, RAT, NOM identical* |
| IMP 5.2 – Convention overview | RAT 2.2 – Convention overview | NOM 5.2 – Convention overview | INV 8.2 – Introduction to the Convention | *IMP, RAT, NOM identical* |
| IMP 5.3 – Key concepts | RAT 2.3 – Key concepts | NOM 5.3 – Key concepts | INV 8.3 – Intangible cultural heritage keywords  INV 8.5 – What is intangible cultural heritage, and what forms does it take? | *IMP, RAT, NOM identical; INV devotes two sessions* |
| IMP 5.15 – Evaluation | RAT 2.9 – Evaluation | NOM 5.14 – Evaluation | IMP 8.21 – Evaluation | *All identical methodology; specific questions case by case* |
| IMP 5.6 – ICH inventorying under the Convention | RAT 2.4 – Implementing the Convention at the national level | NOM 5.4 – ICH inventorying under the Convention | INV 8.4 – ICH  inventorying under the Convention | *IMP, NOM similar; INV in greater depth*  *RAT 2.4 overlaps in part with IMP 5.6 and NOM 5.4* |
| IMP 5.10 – Good safeguarding practices | RAT 2.4 – Implementing the Convention at the national level | NOM 5.13 – Safeguarding measures | INV 8.6 – Safeguarding ICH: core concepts and key safeguarding measures | *IMP, NOM similar; INV different pedagogy*  *RAT 2.4 overlaps in part with IMP 5.10 and NOM 5.13* |
| IMP 5.4 – Who can do what?  IMP 5.7 – Involving the communities concerned | RAT 2.6 – Participation of communities and roles of stakeholders in safeguarding | NOM 5.12 – Community participation |  | *Content similar among IMP, RAT and NOM, with greater detail in IMP* |
| IMP 5.11 – Visit to safeguarding project |  | NOM Opt – Visit to safeguarding project  (optional session) |  | *IMP, NOM similar* |
| IMP 5.12 – Implementing the Convention at the international level | RAT 2.5 – Implementing the Convention at the international level | NOM 5.5 – International assistance  NOM 5.6 – Nominations overview |  | *IMP, RAT similar* |
| IMP 5.14 – Summary and conclusion |  |  | INV 8.22 – Overall review of training workshop |  |
| IMP 5.5 – Awareness-raising |  |  |  |  |
| IMP 5.8 – Encouraging practice and transmission of ICH |  |  |  |  |
| IMP 5.9 – Safeguarding ICH and sustainable development |  |  |  |  |
| IMP 5.13 – Policies and institutions (Creating an enabling legal and institutional structure for safeguarding) |  |  |  |  |
|  | RAT 2.7 – Ratification procedures |  |  |  |
|  | RAT 2.8 – Country experiences |  |  |  |
|  |  | NOM 5.7 – Introducing the sample nominations |  |  |
|  |  | NOM 5.8 – Technical assessment of nominations |  |  |
|  |  | NOM 5.9 – Examining the revised nominations |  |  |
|  |  | NOM 5.10 – Inscription (optional session) |  |  |
|  |  | NOM 5.11 – Identifying an element |  |  |
|  |  |  | INV 8.7 – Who to ask, entry into communities |  |
|  |  |  | INV 8.8 – Starting to design an inventory |  |
|  |  |  | INV 8.9 – Interviewing methods: how to ask, how to gain consent and how to respect it |  |
|  |  |  | INV 8.10 – How to operate audio recorders  INV 8.11 – Basics of operating digital cameras |  |
|  |  |  | INV 8.12 – Practice interview session |  |
|  |  |  | INV 8.13 – Finalizing a framework for inventorying |  |
|  |  |  | INV 8.14 – Briefing on field research sites |  |
|  |  |  | INV 8.15 – Organizing research data: good practices  INV 8.16 – Organizing research data: practicum |  |
|  |  |  | INV 8.17 – Fieldwork practicum |  |
|  |  |  | INV 8.18 – Fieldwork debriefing: reports from each team |  |
|  |  |  | INV 8.19 – Organizing research data: working sessions |  |
|  |  |  | INV 8.20 – Revising the inventory framework and planning next steps |  |

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